

10-22-04

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#### **PATENT**

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.:	09/662,203	)
Applicant:	Smith et al.	Certificate of Mailing by "Express Mail"
Filed:	September 14, 2000	Express Mailing No.: EV 430279.514 US  Date of Deposit: OCTOUN 21, 2004
For: BREATHING ASSISTANCE ) APPARATUS )		I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office Box Addressee" Under 37 C.F.R. §1.10 on the date indicated above addressed to The Commissioner for Patents P.O. Box 1450, Alexandria, VA 22313- 1450.
Examiner:	GLENN K. DAWSON	Offany E. Sexton
Art Unit:	3731	
Attorney Doc	ket No.: 38911/80	) )

#### **COMMUNICATION**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants are in receipt of the Notice of Allowance mailed on September 3, 2004 for the above-designated application. Applicants request to be advised of the following:

1. The Notice of Allowance did not include the initialed Form PTO-1449 for the timely submitted Information Disclosure Statement of August 23, 2004, copy enclosed without references as Exhibit A. A copy of the return post card is attached in Exhibit A indicating that the Information Disclosure Statement was received by the United States Patent and Trademark Office. Applicants request to be advised if this

Information Disclosure Statement was considered and requests return of the initialed form PTO-1449.

Should the Examiner have any questions regarding this Communication, the Examiner is invited to contact one of the undersigned attorneys at (312) 704-1890.

Respectfully submitted,

Dated: 02. 21, 2004

Raiford A. Blackstone, Jr., Reg. No. 25,156

Linda L. Palomar, Reg. No. 37,903

TREXLER, BUSHNELL, GIANGIORGI BLACKSTONE & MARR, LTD.

105 W. Adams Street Suite 3600 Chicago, Illinois 60603 (312) 704-1890





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.:	09/662,203	
Filed:	September 14, 2000	I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 223.13-1450 on
For:	BREATHING ASSISTANCE APPARATUS	3 August 23 A004  Suffamily & Section
Applicant:	Smith et al.	
Examiner:	Dawson	)
Art Unit:	3761	)
Attorney Ref:	1171/38911/80	)

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with Applicant's duty of candor under 37 CFR §1.56 and in compliance with 37 CFR §1.97 and §1.98, Applicant is not aware of any material prior art but, in an abundance of caution and candor, Applicant submits the present Supplemental Information Disclosure Statement and Form PTO-1449.

This reference first became known to Applicant through an Australian Examination Report dated February 12, 2004, copy enclosed, which issued in a corresponding Australian patent application.

This Supplemental Information Disclosure Statement is being filed before receipt of a first Office Action on the merits after the filing of a Request for Continued Examination (RCE) and constitutes a bona fide attempt to comply with 37 CFR §1.97 and §1.98.

In accordance with 37 C.F.R. §1.97, the presentation of this information shall not be construed as a representation that no other material information as defined in 37 C.F.R. §1.56 exists, or as an admission that the information cited in this statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56.

Should the Examiner believe a fee is required, the United States Patent and Trademark

Office is hereby authorized and requested to charge the fee to the deposit account of the

undersigned firm, Account No. 20-1495.

Respectfully submitted,

Dated: August 23, 2004

Raiford A. Blackstone, Reg. No. 25,156 Linda L. Palomar, Reg. No. 37,903

TREXLER, BUSHNELL, GIANGIORGI, BLACKSTONE & MARR, LTD.

105 W. Adams Street, 36th Floor Chicago, Illinois 60603

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 1171/38911/80

SERIAL NO. 09/662,203

#### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANT: Smith et al.

(Use several sheets if necessary)

FILING DATE: September 14, 2000 GROUP

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RAB/LLP/tes

AUG 2 6 2004

Serial No.: .

09/662,203

Filed:

September 4, 2000

For:

BREATHING ASSISTANCE APPARATUS

Applicant: SMITH et al.

Enclosed: SUPPLEMENTAL INFORMATION DISCLOSURE

STATEMENT; Form PTO-1449 cited reference; A copy of the Australian Examination Report; Certificate of First Class Mailing and this postcard.

Receipt Acknowledged:

F/U: 09/23/04

### ASSISTANT COMMISSIONER FOR TRADEMARKS:

Kindly stamp card with date of receipt of attached material and return to attorneys of record.





TREXLER, BUSHNELL, GIANGIORSI & BLACKSTONE, LTD. 105 W. Adams Street, 36th Floor Chicago, Illinois 60603

AUG 3 1 2004

TREXLER, BUSHNELL, GIANGIORGI, BLACKSTONE & MARR, LTD.